## Caase3112-cxv-00122888-EEWC Doccumentt72 Filed0011/006/1144 Pragge1.1o683

| 1<br>2<br>3 | ROBERT L. MITCHELL (Cal. Bar No.161354)<br>MitchellR@sec.gov<br>KAREN KREUZKAMP (Cal. Bar No. 246151)<br>KreuzkampK@sec.gov |   |
|-------------|---|---|
| 4           | Attorneys for Plaintiff SECURITIES AND EXCHANGE COMMISSION  |   |
| 5           | 44 Montgomery Street, Suite 2800<br>San Francisco, California 94104   |   |
| 6           | Telephone: (415) 705-2500<br>Facsimile: (415) 705-2501  |   |
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| 11          | UNITED STATES DIS   |   |
| 12          | NORTHERN DISTRICT   |   |
| 13          | SAN FRANCISCO   | O DIVISION  |
| 14          | SECURITIES AND EXCHANGE COMMISSION,   | Case No. CV-12-1288-EMC                                 |
| 15          | Plaintiff,  |   |
| 16          | v.  | STIPULATION TO RESCHEDULE CASE<br>MANAGEMENT CONFERENCE |
| 17          | JAMES MICHAEL MURRAY,   |   |
| 18          | Defendant,<br>and   |   |
| 19          | EVENT TRADING GP, LLC,  |   |
| 20          | Relief Defendant.   |   |
| 21          | Rener Berendant.  |   |
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## Case 63:1122-0x4-01122999-EEWIC Domcumentt72 Filed 0011/0006/1144 PPagge2 20 ft 83

| 1  | WHEREAS, plaintiff Securities and Exchange Commission ("the Commission") filed its                      |
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| 2  | civil complaint against defendant James Michael Murray on March 15, 2012, its first amended             |
| 3  | complaint on June 14, 2012, and a supplemental first amended complaint on January 9, 2013;              |
| 4  | WHEREAS, the Government filed a criminal complaint against Mr. Murray on February 16,                   |
| 5  | 2012, United States v. James Murray, Case No. 3:12-cr-00278-EMC (N.D. Cal.), an indictment on           |
| 6  | April 19, 2012, a superseding indictment on June 14, 2012, and a second superseding indictment on       |
| 7  | September 17, 2013, which include wire fraud charges based on the same conduct alleged in the           |
| 8  | Commission's complaint (the "criminal action");   |
| 9  | WHEREAS, the civil action and the criminal action were subsequently related (Dkt. No. 22);              |
| 10 | WHEREAS, the Court previously granted Mr. Murray's motion to stay the proceedings,                      |
| 11 | including the time to answer and discovery in this action because of the pending criminal action        |
| 12 | against him (Dkt. 38);  |
| 13 | WHEREAS, the criminal action against Mr. Murray is ongoing, with a status/trial set hearing             |
| 14 | on February 5, 2014 ( Case No. 3:12-cr-00278, Dkt. No. 151);  |
| 15 | WHEREAS, the parties in the instant case are scheduled to have a case management                        |
| 16 | conference with the Court on January 9, 2014 (Dkt. No. 59);   |
| 17 | WHEREAS, in light of the Court's stay order and the fact that the criminal action against Mr.           |
| 18 | Murray is still ongoing, the parties have suspended the instant litigation and therefore have no report |
| 19 | to make to the Court;   |
| 20 | WHEREAS, the parties have met and conferred and expect to resume the Commission's civil                 |
| 21 | action once final judgment is entered in the criminal action;   |
| 22 | <b>THEREFORE</b> , the parties stipulate and agree, and ask the Court to adopt as its Order, that:      |
| 23 | 1. Proceedings in the instant action remain stayed until final judgment is entered in the               |
| 24 | criminal action;  |
| 25 | 2. The stay of proceedings shall relieve defendant of his obligation to answer or                       |
| 26 | otherwise respond to the supplemental first amended complaint as long as the stay is in effect;         |
| 27 |   |
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| 1  | 3. Discovery shall be stayed during the pendency of the stay of proceedings with the                |  |
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| 2  | exception that any party may serve subpoenas for documents upon third parties, provided that the    |  |
| 3  | Commission shall not disclose any such discovery to the United States Attorney's Office prosecuting |  |
| 4  | the criminal action absent further order by this Court;   |  |
| 5  | 4. Upon expiration of the stay, defendant shall answer or otherwise respond to the                  |  |
| 6  | supplemental first amended complaint within thirty (30) days; and                                   |  |
| 7  | 5. Upon expiration of the stay, the parties shall file a joint case management statement,           |  |
| 8  | including a proposed plan for a discovery schedule, within thirty (30) days.                        |  |
| 9  |   |  |
| 10 | DATED: January 2, 2014 Presented by,  |  |
| 11 |   |  |
| 12 | <u>/s/ Robert L. Mitchell</u> <u>/s/ James Murray</u> Robert L. Mitchell James Murray               |  |
| 13 | Attorney for Plaintiff c/o GeoCare  |  |
| 14 | SECURITIES & EXCHANGE COMMISSION San Francisco, CA 94102  |  |
| 15 | 44 Montgomery Street, Suite 2800<br>San Francisco, CA 94104   |  |
| 16 | (415) 705-2500  |  |
| 17 |   |  |
| 18 | * * *   |  |
| 19 | PURSUANT TO STIPULATION, IT IS SO ORDERED. The CMC is reset for 7/31/14 at 9:00 a.m. A              |  |
| 20 | joint CMC Statement shall   |  |
| 21 | Dated this 6th day of Jan 2014. be filed by   |  |
| 22 | 7/24/14.  |  |
| 23 | ATES DISTRICT   |  |
| 24 |   |  |
| 25 | ORDERED ROSSINCE Stage  |  |
| 26 | IT IS SO OICED SO OICED   |  |
| 27 | Judge Edward M. Chen  STIPULATON TO RESCHEDULE CASE MANAGEMENT CONFERENCE                           |  |
| 28 |   |  |
|    | SEC V. MURRAY CASE NO. 12-CV-1288-EMC   |  |
|    | DISTRICT  |  |